



#RethinkCompliance with

LEXCOMPLY 

India's most comprehensive Compliance Management Solution



Need for Comprehensive Compliance Solution..!!!

Business Complexities, legislative changes, dependencies on external vendors have increased need for a robust system

DIRECT COMPLIANCE

Applicability of law changes with every small change in nature of company, business, locations, employees, etc. Keeping a tab on all such of all laws across ecosystem in the prime need.

1

2

3RD PARTY COMPLIANCE

With businesses increasingly outsourcing their core and non core activities, the compliance requirement from 3rd party operations becomes an important aspect to be handled

INTER DEPARTMENTAL COMPLIANCE

Every major corporate action impacts the compliance requirement under various acts applicable through direct or 3rd party operations

3

4

EVOLVING LEGAL ENVIRONMENT

With operations spreading across geographies, no service providers are fully equipped to fulfill all compliance requirements in a fast changing legal environment



Compliance Management
Non Core worry of CXOs

With most complex compliance environment; none of the widely adopted technology solutions give guidance to manage end to end compliance requirement across ecosystem while highlighting risks arising out of any non compliance



The Changing Governance Landscape

Recent Changes in The Companies Act have brought in a compelling need be watchful of compliance obligations

The Companies Act 2013 have brought in many changes in contrast with Companies Act 1956. The reporting and responsibility matrix have not only been clearly defined, the penal provisions have become more stringent.



Sec 204, Companies Rules 2014

Secretarial Audit Report mandatory for:

- Every listed company and
- Public company with > INR **50 Crore** paid-up capital
- Public company with > INR **250 Crore** turnover

Clause 49 (II/D/3)

Board is to periodically review the compliance reports of all laws applicable to a company as well as the steps taken by it to rectify instances of noncompliance.

Section 205 (1)

The *Company Secretary* shall report to BoD, status of compliances under all applicable laws.

Section 134 (5d)

BoDs to declare following in Directors' Report :

- Devised proper systems to ensure compliance with provisions of all applicable laws
- Ensured such systems were adequate and operating effectively

Increased Penalties for Non Compliance

- Company: Min - INR 50,000; Max - INR 25,00,000
- Officer in Default: INR 50,000 to INR 500,000 or Imprisonment or both
- PCS who wrongly certifies: Min fine - INR 1,00,000; Max - INR 500,000



The Solution to manage your compliance ecosystem



Integrated GRC solutions to averse risks & losses

Flexibility to implement single solution & plug in others with dedicated hosting environment on Tier IV Data Centre



Status & Gap Reports - User, Department, Act, Control, Case, Location, Status wise
 Automated & Ad-hoc Reports, Management Dashboards

Advisory Portals

MY CORP DECISION .com RATE

REGISTRATIONS & APPR VALS .com



Solution Overview - Compliance Management

India's Most Comprehensive Compliance Management Solution having detailed coverage of every compliance

Act & Compliance Libraries



Easing Compliance Complexities

Impact of Non Compliance defined in every compliance



Criticality levels defined in every compliance to help management identify and focus critical one's



Compliances Divided in 4 categories to ease understanding & define responsibilities



Type of Acts Covered

Secretarial, Taxation, HR & Labour, SEBI, Industrial, Legal Metrology, Environment, S&E, FSSAI, IRDA, Private Security, Import & Export, Fire & Safety, SEZ, FDI, Info Technology, TRAI, Trade Mark, ECB, Finance, BIS, Competition, Industry Specific & Many More..



Key Features - Compliance Management

Users level controls, flexibilities to map group companies, vendors, service providers, contractors & many more.

Comprehensive Coverage

- **Act Library** - Act Documents, Amendments, Notifications, etc
- **Compliance Brief** - Applicability, Penal Provision, Key Definitions, Exemptions, Filing Forms
- Link to govt & useful websites
- Business Criticalities defined
- Changes archived for reference during audits & inspections
- **Legal Updates** - Dedicated team surfs 200+ websites daily

Organogram & Controls

- Configure **Unlimited** Entities
- Centralized Access Control
- 5 Level responsibility matrix
- Only **relevant** compliance to **relevant** stakeholders
- Internal & external ecosystem mapping for compliance reporting
- **Flexibility** to chose dept wise or enterprise wise implementation
- Reallocate responsibilities on role change, attritions, long leaves

Ease of Use

- Personalise Compliance Calendar
 - Due dates – Current & Future
 - Event & On-going Check Cycle
- Easy to Learn & Use
- Personalize User Experience
- User wise video tutorials
- **Document Management:**
 - Upload multiple Proofs of Compliance & documents
 - 1 Click search for proofs
 - Upload past data & documents

Report & Dashboards

- Auto reports with access control
- Adhoc reports on 15 parameters
- Management Dashboard with drill down to compliance level
- Read only access for Auditors & Independent Directors
- Excel download

Escalations & Reminders

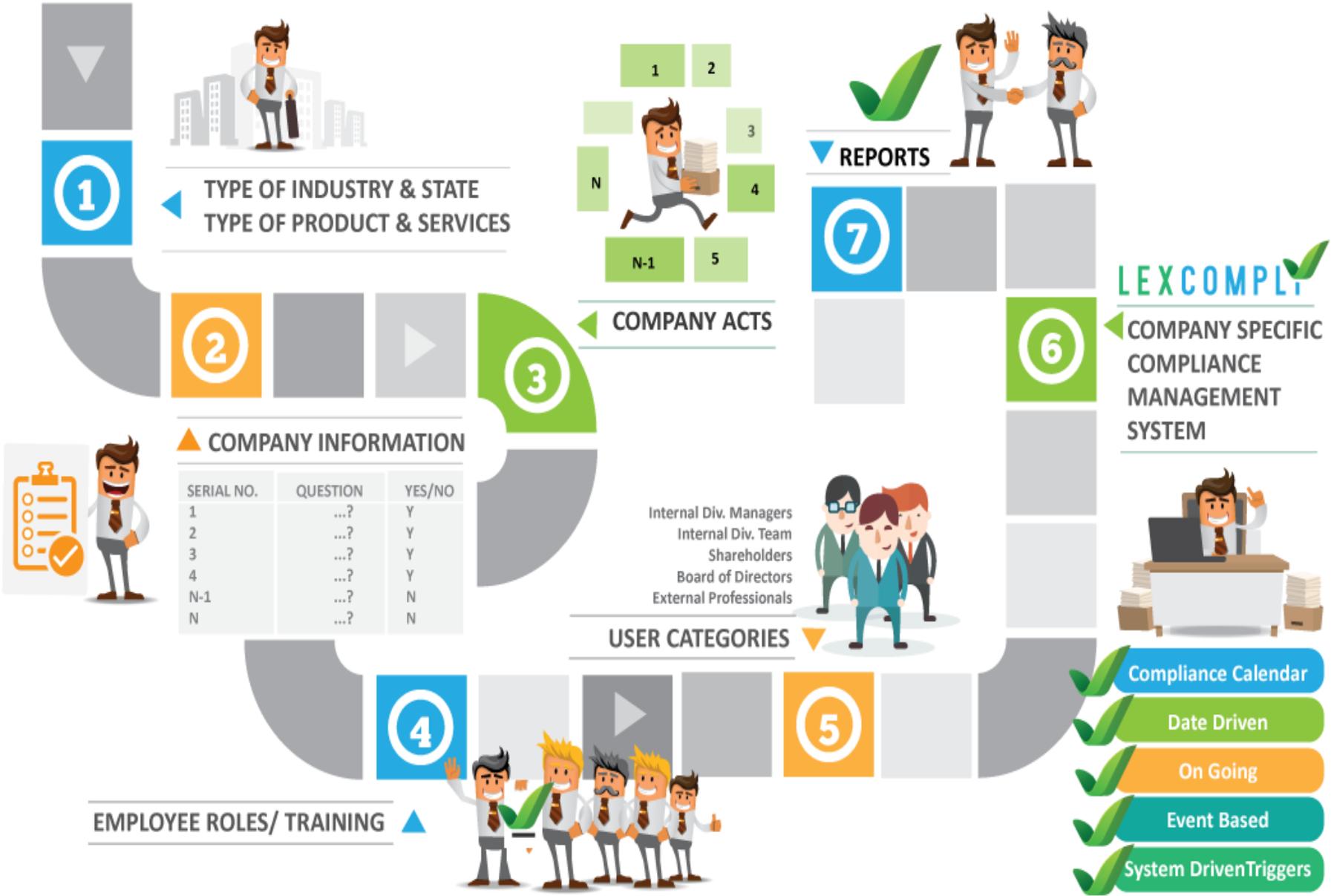
- System generated reminders & escalations
- **Users types** - Super Admin, Admin, FPR, Approver, HOD, Management, Auditor
- Mailers to relevant users on compliance addition, extension

Robust App Architecture

- Compliance level controls for role based access
- Accessible on Mobile
- Lean, Scalable & Robust
- Training videos available
- Integrates with ERM, IFC & Litigation Mgt

Implementation Methodology...!!!

Unique Methodology of identifying compliance not applicable from the universe ensures no compliances are missed





Corporate Brief



Corporate Brief & Team

We believe complying to laws not only reduces risk but also reflects commitment to safeguard stakeholder's interests

- Offshoot of RSJ Professionals, a Corporate Advisory Firm servicing 500+ Clients since 2007
- Incorporated in Feb, 2015; headquartered in Delhi
- 30+ Member team of young professionals
- Serving 60+ Corporate Clients Across North, West & Southern India
- Strong Partner ecosystem for content creation, implementation & Client Engagements

Founding members...!!!



Gaurav Jain (Founder)

- Manages innovation, client services
- 16+ years experience as Company Secretary
- Worked on consulting assignments with 500+ Corporates on Corporate Law Compliance, Due Diligence, FIPB, VC Funding, JV's, etc.



Jyant Kohli (Co-Founder)

- Manages Expansion & Partnerships
- 15+ years industry experience
- Ex ADP, Thomson Prometric, Manipal, Polaris, etc.
- Customer focused with strong understanding of various Indian markets



Thomas Abraham (Co-Founder)

- Drives strategic initiatives
- 30+ years of experience across FinTech, Publishing, Telecom & IT
- Worked with global leadership teams as MD of Wolters Kluwer and Sage



Pooja Agarwal (Co-Founder)

- Responsible for content creation & finance
- ICSI, ICAI, IFRS Compliance Professional
- 14+ Years of consulting experience in Corporate Law Compliance.

Key Investor..!!!



Ajay Relan

- Invested personal capital
- 40+ years experience in investment & financial services.
- Founder & Chairman CX Partners
- Ex MD Citi Ventures, many more...



Key Clients & Partners..!!!

They trusted in our passion & commitment to create a better world that's organized and committed to be compliant..!!!

70+ Corporate Clients



Key Partners



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